

Substitute Form PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 003-006	Application No. 09/614,991
Information Disclosed Statemnt by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))			Applicant PLESS et al.	
			Filing Date July 12, 2000	Group Art Unit 3739

O I P E
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EXAMINER INITIAL		Other Art (Including Author, Title, Date, Pages, etc.)
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	C18	Hynynen et al., "Cylindrical Ultrasonic Transducers for Cardiac Catheter Ablation," <u>IEEE Transactions on Biomedical Engineering</u> , 1997;44(2):144-151.
	C19	Inoue et al., "Video Assisted Thoracoscopic and Cardioscopic Radiofrequency Maze Ablation," <u>ASAIO Journal</u> , 1997;43:334-337.
	C20	Jumrussirikul et al., "Prospective Comparison of Temperature Guided Microwave and Radiofrequency Catheter Ablation in the Swine Heart," <u>PACE</u> (1998); 21: 1364-1374
	C21	Lee, et al., "High Intensity Focused Ultrasound Effect on Cardiac Tissues: Potential for Clinical Application," <u>Echocardiography</u> , (2000) Vol 17, No 6, Part 1: 563-566
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	C29	Pfeiffer et al., "Epicardial Neodymium....," <u>Am Heart J</u> , 1996;94(12):3221-3225.
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	C32	Sosa et al., "Radiofrequency Catheter Ablation of Ventricular Tachycardia Guided by Nonsurgical Epicardial Mapping in Chronic Chagasic heart Disease," <u>PACE</u> , January 1999; 22 (Part I), 128-130.
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	C34	Stone et al., "Ablation of Atrial Fibrillation by the Maze Procedure," <u>Surgical Forum</u> , <u>Cardiothoracic Surgery</u> , date unknown, 213-215.					
	C35	Thomas et al., "Microwave Ablation of Myocardial Tissue:..." <u>J Cardiovasc Electrophysiol</u> , (1999); Vol 10: 72-78					
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	C37	Von Oppell et al., "Effectiveness of two radiofrequency ablation systems in atrial tissue," <u>Euro J of Cardio-thoracic Surg</u> , (2001); 20: 956-960					
	C38	Watanabe et al., "Experimental Application of Microwave Tissue Coagulation to Ventricular Myocardium," <u>Ann Thorac Surg</u> , (1999); 67: 666-671					
	C39	Weber, "Laser versus Radiofrequency Catheter Ablation of Ventricular Myocardium in Dogs: A Comparative Test," <u>Cardiology</u> , 1997: 88:346-352.					
	C40	Whayne et al., "Microwave Catheter Ablation of Myocardium In Vitro..." <u>Circulation</u> (1994); 89: 2390-2395					
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(Modified)U.S. Department of Commerce
Patent and Trademark OfficeAttorney's Docket No.
003-006Application No.
09/614,991**Information Disclosure Statement
by Applicant**

(Use several sheets if necessary)

(37 CFR §1.98(b))

Applicant
PLESS et al.Filing Date
July 12, 2000Group Art Unit
3739**U.S. PATENT DOCUMENTS**

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	A2	4,802,475	02/1989	Weshahy		
	A3	4,815,470	03/1989	Curtis et al.		
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	A7	5,080,102	01/1992	Dory		
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	A12	5,231,995	08/1993	Desai		
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EXAMINER INITIAL	*	DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS	
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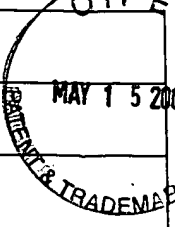
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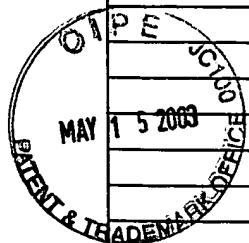
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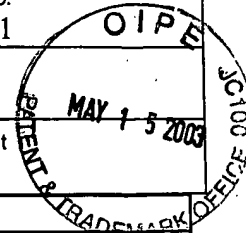
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	B2	AU A-70522/96	11/1994	Australia			
	B3	WO 95/10318	04/1995	PCT			
	B4	WO 95/10319	04/1995	PCT			
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	B9	WO 96/10961	04/1996	PCT			
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Substitute Form PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Attorney's Docket No. 003-006		Application No. 09/614,991	
Information Disclosed by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))				Applicant PLESS et al.			
				Filing Date July 12, 2000		Group Art Unit 3739	
	B36	WO 01/82778A2	11/2001	PCT			
	B37	WO 02/05720A1	01/2002	PCT			
	B38	WO 02/05722A1	01/2002	PCT			
	B39	WO 02/05868A2	01/2002	PCT			
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	B42	WO 02/09610A1	02/2002	PCT			
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	B46	WO 02/40093	05/2002	PCT			
	B47	WO 02/45608A2	06/2002	PCT			



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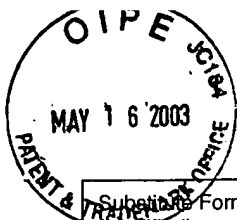
Sheet 8 of 10

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 003-006	Application No. 09/614,991
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant PLESS et al.	
		Filing Date July 12, 2000	Group Art Unit 3739

EXAMINER INITIAL		Other Art (Including Author, Title, Date, Pages, etc.)
	C1	AFx, inc., "FLEX Surgical Ablation Device: Instructions for Use," Document No. 900044 Rev A; March 28, 2000: 1-9
	C2	AFx, inc., "AFx Microwave Generator, Series 1000; User's Manual," Document No. 900067/A/1310, (2000): 1-13
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	C5	Boston Scientific, EP Technologies, "Cobra® Electrosurgical Unit, Operator's Manual: 4810 & 4811," Document No. 11292-001 EAW Ver AC, Feb 2000; pp. 1-1 thru 9-2
	C6	Boston Scientific, EP Technologies, "Cobra® Surgical Probe; Directions for Use," Document No. 13954-001 Rev A, October 2001: page 3
	C7	Boston Scientific, EP Technologies, "Cobra® RF System: The first surgical system for creating linear lesions." Document No. DEP-225 Rev A, Jan 2002
	C8	Caccitolo et al., "Open-Heart Endocardial Radiofrequency Ablation:..." <u>J of Surgical Research</u> , (2001); 97: 27-33
	C9	Chevalier, et al., "Thoracoscopic Epicardial Radiofrequency Ablation for Val Atrial Fibrillation in Dogs," <u>PACE</u> June 1999; 22 (Part I), 880-886.
	C10	Cox et al., "The Surgical Treatment of Atrial Fibrillation, IV Surgical Technique," <u>J Thorac Cardiovasc Surg</u> , 1991; 101: 584-592.
	C11	Cox et al., "The Maze III Procedure for Treatment of Atrial Fibrillation," <u>Cardiac Arrhythmias</u> , 78: 460-475.
	C12	Demazumder et al., "Comparison of Irrigated Electrode Designs for Radiofrequency Ablation of Myocardium," <u>J of Interventional Cardiac Electrophysiology</u> , (2001): 391-400
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	C15	He et al., "Preliminary Results Using Ultrasound Energy for Ablation of the Ventricular Myocardium in Dogs," <u>Am J Card</u> , 1994;73:1029-1031.
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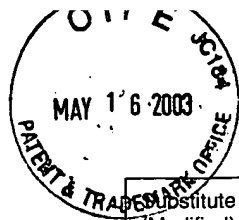
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MP	A1	3,862,627	01/1975	Hans, Sr.		
	A2	4,802,475	02/1989	Weshahy		
	A3	4,815,470	03/1989	Curtis et al.		
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	A15	5,269,291	12/1993	Carter, Robert E.		
	A16	5,281,215	01/1994	Milder		
	A17	5,295,484	03/1994	Marcus et al.		
	A18	5,323,781	06/1994	Ideker et al.		
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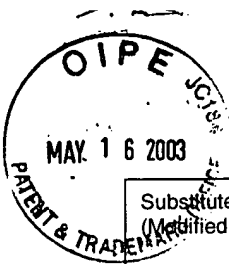
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		Filing Date July 12, 2000	Group Art Unit 3739

EXAMINER INITIAL	*	DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS
MP	A35	5,469,853	11/1995	Law et al.	RECEIVED MAY 21 2003 TECHNOLOGY CENTER R3700	
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	A42	5,545,195	08/1996	Lennox et al.		
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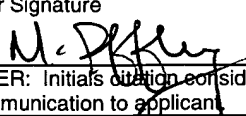
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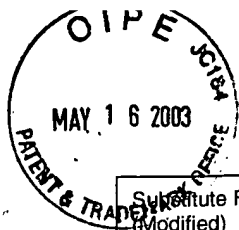


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EXAMINER INITIAL	*	DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS
UP	A72	5,688,267	11/1997	Panescu et al.		
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	A74	5,697,925	12/1997	Taylor		
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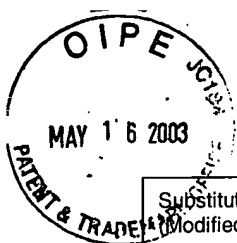
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EXAMINER INITIAL	*	DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS
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up		A145	6,296,619 B1	10/2001	Briskin et al.	


Examiner Signature <i>M. P. Kelly</i>	Date Considered 10/28/03
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EXAMINER INITIAL	*	DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS
mp	A146	6,302,880 B1	10/2001	Schaer		
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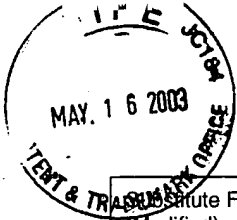
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EXAMINER INITIAL	*	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB- CLASS	TRANS- LATION?
UP	B1	GB 2 094 636	09/1982	UK			
	B2	AU A-70522/96	11/1994	Australia			
	B3	WO 95/10318	04/1995	PCT			
	B4	WO 95/10319	04/1995	PCT			
	B5	WO 95/17222	06/1995	PCT			
	B6	WO 95/15115	06/1995	PCT			
	B7	WO 95/30380A	11/1995	PCT			
	B8	GB 2 289 510A	11/1995	UK			
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Information Disclosur Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))				Applicant PLESS et al.			
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<i>WJ</i>	B36	WO 01/82778A2	11/2001	PCT			
	B37	WO 02/05720A1	01/2002	PCT			
	B38	WO 02/05722A1	01/2002	PCT			
	B39	WO 02/05868A2	01/2002	PCT			
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	B44	WO 02/26142A1	04/2002	PCT			
	B45	WO 02/30310A1	01/2002	PCT			
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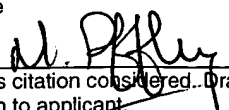


Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 003-006	Application No. 09/614,991
		Applicant PLESS et al.	
		Filing Date July 12, 2000	Group Art Unit 3739

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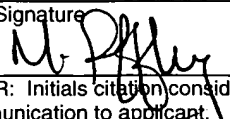
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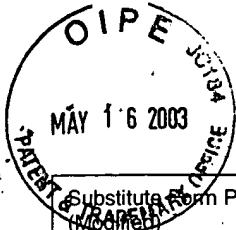
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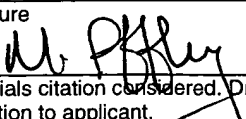
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EXAMINER INITIAL	Other Art (Including Author, Title, Date, Pages, etc.)		
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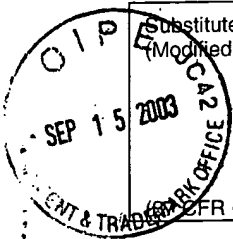
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Substitute Form PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Attorney's Docket No. 003-006	Application No. 09/614,991
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))				Applicant PLESS et al.	
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EXAMINER INITIAL		Other Art (Including Author, Title, Date, Pages, etc.)			
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		Filing Date July 12, 2000	Group Art Unit 3739

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Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
NP	AA	6,610,055	08/26/2003	SWANSON et al.			
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							Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AQ	
	AR	
	AS	
	AT	

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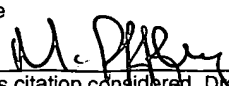
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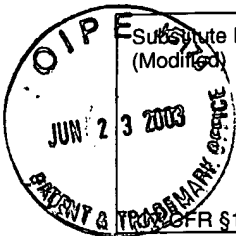
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	AAA	WO 97/41793	11/13/1997	PCT				
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 Substitute Form PTO-1449
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 U.S. Department of Commerce
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 Attorney's Docket No.
 003-006

 Application No.
 09/614,991

**Information Disclosure Statement
 by Applicant**

(Use several sheets if necessary)

 Applicant
 PLESS et al.

 Filing Date
 July 12, 2000

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 3739

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	AS	WO 95/10978	04/27/1995	PCT				
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